

MGA Independent Advisor 0616-1	MGA Name and Number :
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APPLICANT INFORMATION	Gender <input type="checkbox"/> M <input type="checkbox"/> F	Best time to call	Best number to call
	Language <input type="checkbox"/> English <input type="checkbox"/> French	A.M. <input type="checkbox"/> P.M. <input type="checkbox"/> Evening <input type="checkbox"/>	Home: <input type="checkbox"/> Office <input type="checkbox"/> Other <input type="checkbox"/>
Last Name		First Name	
Home number :	Office number:	Other (cellular, pager)	Email

APPLICANT IDENTIFICATION		
1- Type of Document	Source (Place of issuance)	Document Number
2- Type of Document	Source (Place of issuance)	Document Number

CO-APPLICANT INFORMATION	Gender <input type="checkbox"/> M <input type="checkbox"/> F	Best time to call	Best number to call
	Language <input type="checkbox"/> English <input type="checkbox"/> French	A.M. <input type="checkbox"/> P.M. <input type="checkbox"/> Evening <input type="checkbox"/>	Home: <input type="checkbox"/> Office <input type="checkbox"/> Other <input type="checkbox"/>
Last Name		First Name	
Home number :	Office number:	Other (cellular, pager)	Email

CO-APPLICANT IDENTIFICATION		
1- Type of Document	Source (Place of issuance)	Document Number
2- Type of Document	Source (Place of issuance)	Document Number

AGREEMENT AND SIGNATURES								
<p>I/We hereby consent to the release of the personal information contained in this Contact Form to the Bank and its advisors for the purpose of the Bank contacting me/us to discuss the products or services of the Bank. I/We acknowledge having read the CONTACT FORM TERMS AND CONDITIONS and agree to be bound by them. In addition, the undersigned attest that the information provided above is accurate and give their consent and authorization with respect to their personal information. They further recognize having been informed of their right to restrict the collection, use and communication of such information.</p>								
		<table border="1" style="width: 100px; margin: auto;"> <tr> <th colspan="3">Date</th> </tr> <tr> <td style="width: 33%;">YYYY</td> <td style="width: 33%;">MM</td> <td style="width: 33%;">DD</td> </tr> </table>	Date			YYYY	MM	DD
Date								
YYYY	MM	DD						
Applicant's signature <u> X </u>	Co-applicant's signature <u> X </u>							

COMPLETED BY								
By signing this section, the Advisor acknowledges and confirms to the Bank that he has duly identified the applicant and co-applicant and certifies that the signatures above were executed before him.								
Broker Insurance Number _____	Contact Office Number _____	Email address : _____						
Advisor's Name (Please Print) _____	Advisor's Signature <u> X </u>	<table border="1" style="width: 100px; margin: auto;"> <tr> <th colspan="3">Date</th> </tr> <tr> <td style="width: 33%;">YYYY</td> <td style="width: 33%;">MM</td> <td style="width: 33%;">DD</td> </tr> </table>	Date			YYYY	MM	DD
Date								
YYYY	MM	DD						

CONTACT FORM TERMS AND CONDITIONS

DEFINITIONS – In the above Contact Form and these terms and conditions:

“Applicants” designates the individual(s) noted as such above, collectively and individually, as applicable, in respect of whom the Distributor is providing contact information to the Bank.

“Bank” designates National Bank of Canada, its successors and assigns as well as all its subsidiaries.

“Distributor” designates the Advisor indicated in the above Contact Form.

COLLECTION, USE AND COMMUNICATION OF PERSONAL INFORMATION
 In this section, the term “I” refers to the Applicants.

i) Collection
 The Bank and the Distributor collect information of a personal nature in order to enable the Bank and the Distributor to understand and meet my needs, to determine my eligibility for the various products and services of the Bank and the Distributor and to protect my interests and those of the Bank and the Distributor. I agree to provide the Bank and the Distributor with the necessary information about myself for the purposes mentioned in the previous sentence and I authorize the Bank and the Distributor to obtain personal information about myself from any person likely to have such information (credit reporting and assessment agencies, financial institutions, security registration offices, securities regulatory agencies, employers, professionals and individuals given as references). In addition, I authorize the Bank and the Distributor to exchange between themselves (where legislation permits) my personal information for the purposes mentioned herein.

ii) Use and communication
 The personal information collected by the Bank and the Distributor is required in order to provide me with the products and services that I may request and may be used and communicated for the following purposes:

- 1) to determine my financial situation and my eligibility for the various products and services that I may request, including any features related to such products and services, as well as to check the accuracy of the information provided;
- 2) to provide to me the various products and services that I may request, and to enable the Bank and the Distributor to conduct their activities, including for statistical purposes, or to measure the quality of their customer service and to that matter the Bank and the Distributor may, from time to time, monitor and record the telephone conversations held with me;
- 3) to convey it to any person working for or with the Bank or the Distributor, including their respective suppliers and mandataries or agents, to the extent necessary to provide me with the products and services that I may request, and
- 4) to facilitate my identification, to distinguish me from the Bank's and the Distributor's other clients and to identify me to any credit reporting and assessment agencies, financial institutions, security registration offices, securities regulatory agencies, employers, professionals and individuals given as references.

I expressly authorize the Bank and the Distributor to use and communicate the information for the purposes stated above.

I authorize the Bank and the Distributor to keep the information collected for the purposes stated above for as long as needed, even if I am no longer a client of the Bank or the Distributor. I acknowledge that I can also have access to my personal information and correct it, if needed, by communicating with the Bank and the Distributor in the same manner as mentioned below. In addition, I will advise the Bank and the Distributor as soon as possible of any changes related to my personal information for purposes of updating their files. The Bank and the Distributor are authorized to rely and act upon my personal information contained on file as long as the Bank and the Distributor have not been advised of any changes to such personal information. If I fail to advise the Bank and the Distributor of any changes to this information, I will not hold the Bank and the Distributor responsible for any damage that I may incur.

I may obtain more information with respect to the Bank's and the Distributor's privacy policies by reading their privacy policies available on their respective websites or by communicating with the Distributor or, with respect to the Bank, by communicating with the Bank by calling 1-866-444-1379.

COMPENSATION DISCLOSURE
 The Applicants acknowledge that the Bank may pay referral compensation for products and services supplied by the Bank to the Applicants as a result of a referral by the Distributor. The amount of the compensation and how it is calculated will depend on the product or service and will be based on a percentage of the Applicants' balances in the product or service or the revenue generated from the Applicants' use of the product or service or a fixed fee or a combination of these methods. The actual amount of the compensation will vary from time to time depending on the Bank's promotions for sales of products or services.

RELATIONSHIP
 The Applicants acknowledge that they have been advised that the Bank and the Distributor are not related parties.